

**Haashn**

**Tapoles**

Part I

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# Review

- labs - pointers and pointers to pointers
- memory allocation
- easier if you remember
  - **char\*** and **char\*\*** are just basic ptr variables that hold an address
  - **int** and **char\*** and **char\*\*** are just conveniences over assembly code
    - e.g. 'what offset size should i use for ... [i], +, /, ...'
    - e.g. [i] on a 1 byte type says 'get address of start and add  $i * 1$ '
    - the  $i * 1$  bit is called the '**stride**' - how long is each 'step' in memory

I have a big array of People of size  $n$ .

I need to find one holding a name variable "anton".

Linear search - big-O?

Pre-sorted binary search - big-O?

It would be great if I could just do:

```
Person me = people_array["anton"]
```

and get  **$O(1)$**  indexing.

But this doesn't work.

How can I make this work?

# If You Can Prepare the Data in Advance

- Assign each person that is created an unique index to the array.
  - -> Or create a separate **look-up table** |name|array index|
  - -> Usually you can do this. **Done.**
- If we can't prepare the data for each **key** (names for us) - we need to **search** the data structure.
  - e.g. *"Is there a user called 'anton' in the database?"*
  - -> Difficult. Evaluate **hash table** as an **alternative to searching**. Use name as the **key**.

Can we make a **function** that just turns a **string into an integer**?

How?

# Create a Hash Function

- return sum of character codes in string?  

```
int index = 'a' + 'n' + 't' + 'o' + 'n';  
          = 97 + 110 + 116 + 110 = 433;
```
- suggest some improvements to me:
  - what if the sum is bigger than our array size?
  - what if we have e.g. names: **adi** and **ida**?



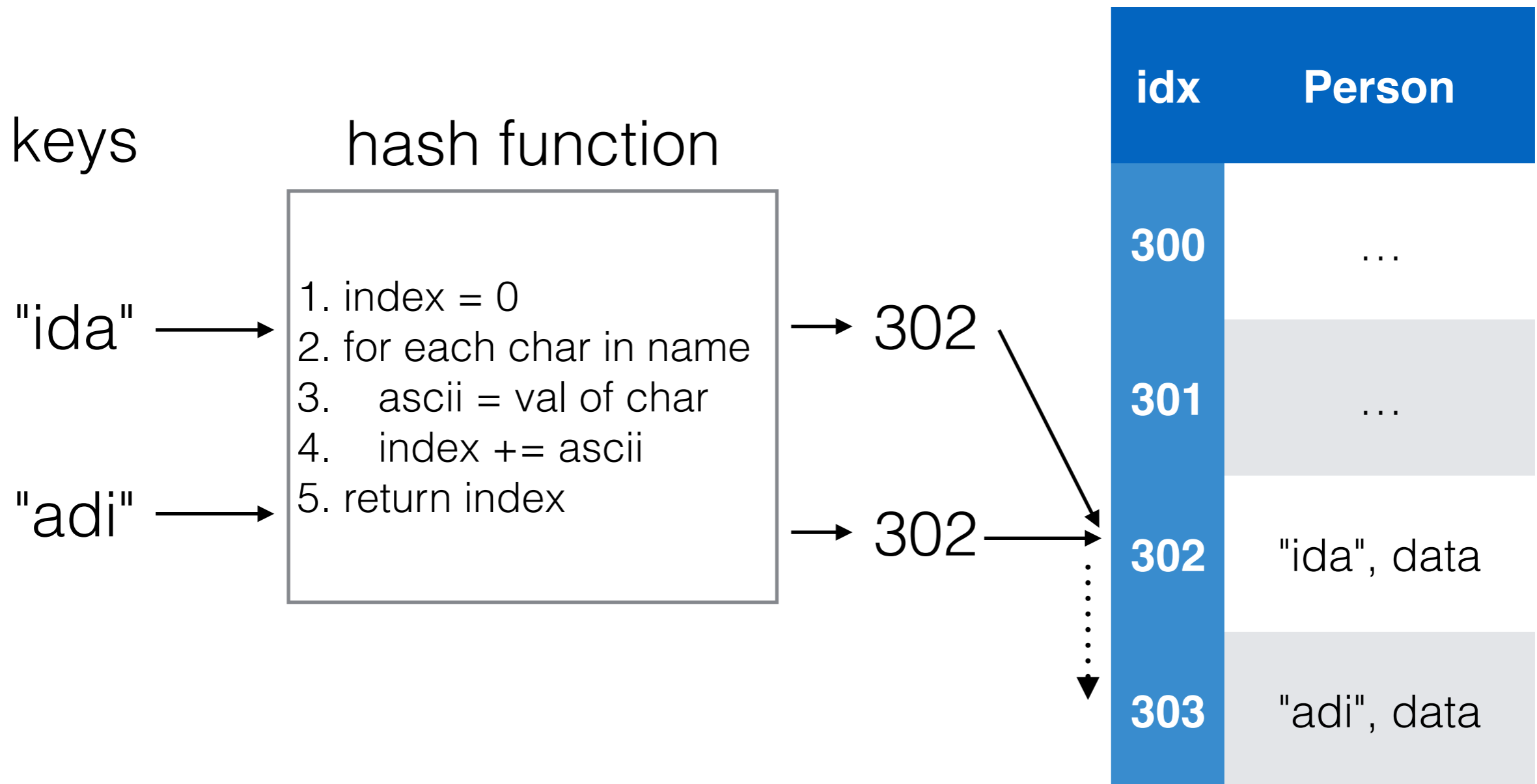
# Dealing with Limitations

- Make the array bigger to avoid collisions
  - More wasted space -> **space complexity ++**
- Can't be perfect - allow some collisions
  - More collisions -> **time complexity ++**
- Improve hash function to reduce collisions
  - Hard. May over-fit to *test* input instances.

# Allow Collisions

- Must allow some collisions or have infinite storage
- Several strategies exist
  - "Use the next index down"
  - Put a linked list behind every index
  - Cost of each? {Coding, Time, Space}

# Use the Next Index Down "Linear Probing"

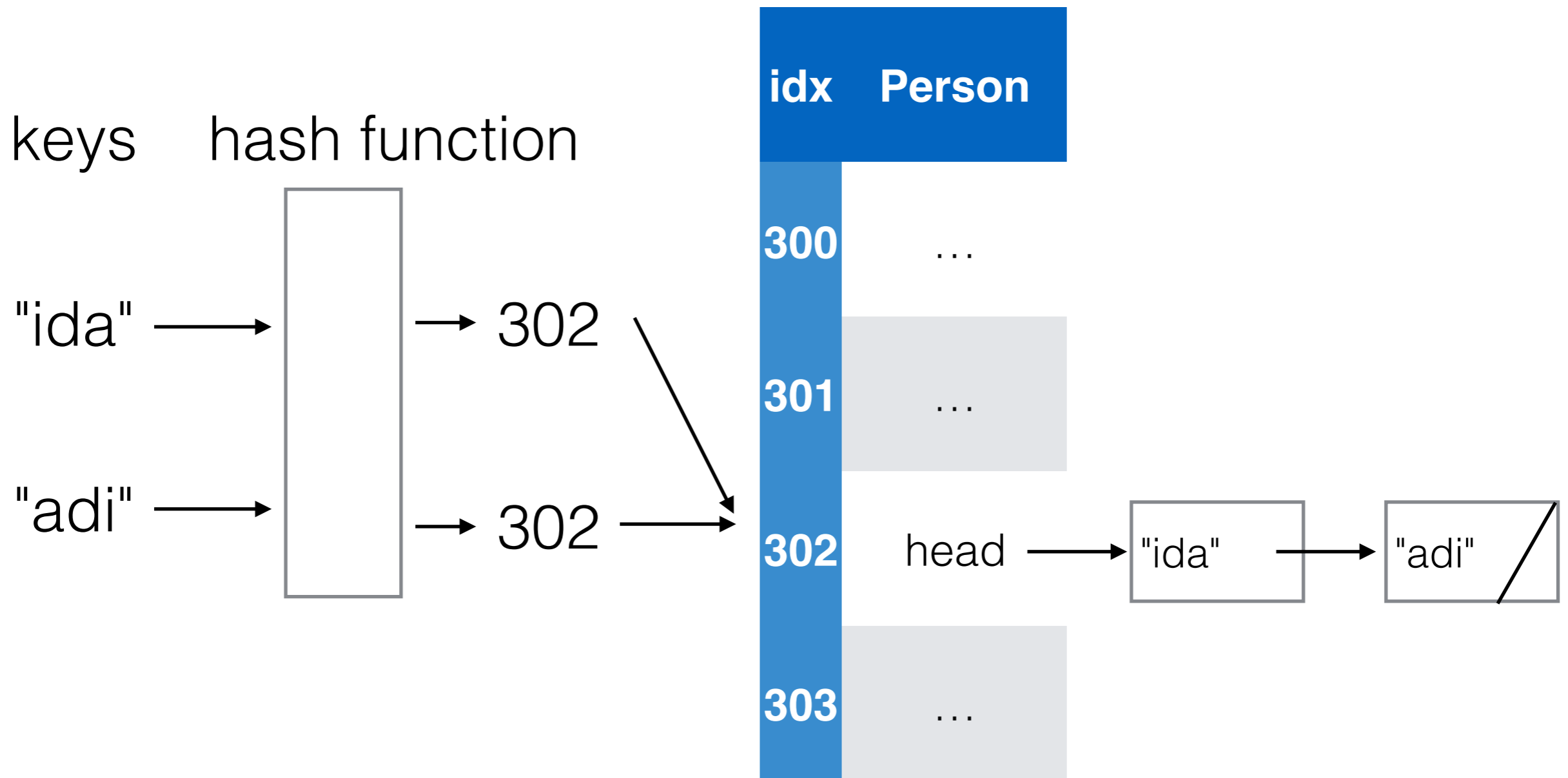


**Q. downsides?**

# Use the Next Index Down

- Relies on keys being mostly evenly distributed with some space in-between
- If keys are clustered
  - Becomes a plain linear array search again
  - tweak hash function
  - enlarge array *S(bigger)*
- Easy to implement (can not be understated)

# Chaining Hash Tables



**Q. Big-O best/average/worst?**

# Chaining Hash Tables

- Avoid having to distribute gaps in hash table
- Put a linked list behind each array index
- Inherits pros and cons of linked lists
  - Which are?
  - (what are our criteria for evaluating data structs?)

Part II (lecture 8)

**~ Rehash ~**

# Improve the Hash Function

- Generate more unique values

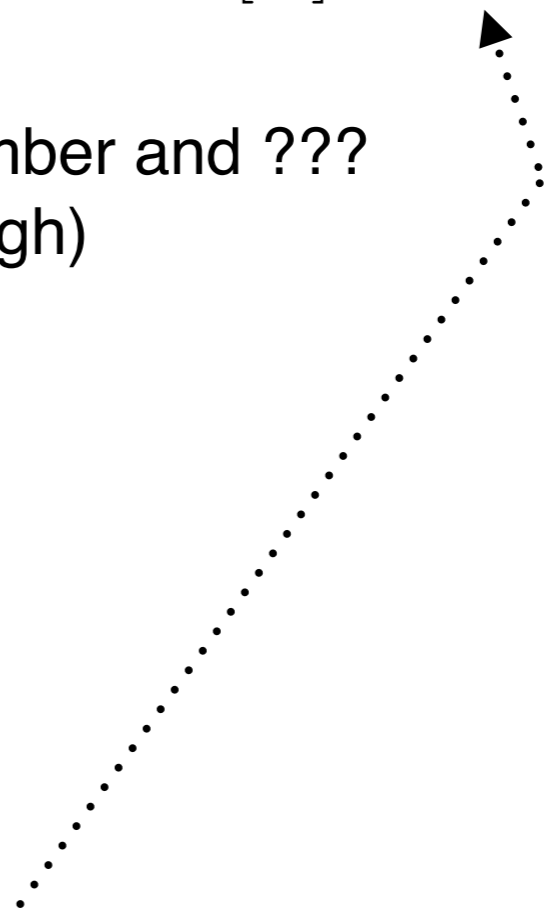
```
int index = name[0] + name[1]*M^1 + name[2]*M^2 + ...
```

- warning: long strings will get too big for number and ???  
(split them up so exponents don't get too high)

- Fit into a smaller array/table

```
M = 256  
my_hash_table[M];  
index = index % M;
```

- Can we do better? Why might **256** be a problem?





# Powers of 2 are a problem?

- hash function  $h(k) = k \% m$   
 *$h(k)$  is function returning index*  
 *$k$  is key input*  
 *$m$  is max size of table*
- if  $m$  is a power of 2, written  $m=2^p$
- books say: then  $h(k)$  is just the  $p$  lowest-order bits of  $k$
- `~~ int index = lowest M bits of index;`



# Improve the Hash Function

- A common strategy uses **prime numbers** - the product of a prime with another number has a very good chance of being [more] unique.
- Choose table size such that it is a prime near the size you expect.
- Choose constant k such that it is the same prime.  
e.g. change table[256] to table[251]

```
int index = first letter * 251 + second letter * 251 ^2 ...  
index = index % 251;
```

# Different Collision Methods

- Separate **chaining** - our linked lists add-on
- Can also use an array at each table index as "**buckets**" (not as flexible)
- **Open addressing** hashing methods:
  - "**Linear probing**" - our '*use the next value along*'
    - load factor =  $\text{item\_count} / \text{array\_size}$
    - when load factor  $> \sim 2/3$  then perf suffers
    - uses  $< 5$  probes on avg. for a table  $< 2/3$  full
  - Rehashing and **double hashing**
  - **quadratic probing**
- ... there are lots of them! implementations differ between books/programmers etc.

# Double Hashing

- $h(k,i) = ( f(k) + i * g(k) ) \% M$
- where  $f$  and  $g$  are auxiliary hash functions
- first probe goes to `array[f(k)]`
- additional probes are offset not by 1, but by the second function
- stepping by  $>1$  means you might miss values. so...

# Double Hashing

- to cover entire array  $g(k)$  must be *relatively prime* to  $M$ 
  - $M$  is power of 2 and  $g(k)$  always returns odd number
  - or  $M$  is prime and  $g(k)$  always returns positive number less than  $M$
  - can work with other setups but difficult to predict coverage
- example where  $M$  is prime:
  - $f(k) = k \% M$   
 $g(k) = 1 + (k \% M')$   
where  $M'$  is a slightly smaller  $M$ , e.g.  $M - 1$
  - will examine e.g. every 257th slot until all slots examined.

# Minimum Knowledge

- Read **at least one book's** summary (some are online) of different hash table methods
- **Implement** your open simple open addressing function (linear probing)
- Know how to **draw/explain** a probing method
- Know when a hash table **is and isn't an advantage**
- **Consider improvements** to code with double hashing or chaining. Read some blogs/code from others.

# Comparison

- Time complexity can depend on table load
- for large arrays and input strings at 90% load:
  - linear probe takes avg. **50** probes for unsuccessful search
    - generates  $O(m)$  range of values for keys
  - double hashing takes **10**
    - generates  $O(m^2)$  values for keys (2 functions)
- don't let a hash table get 90% full!
- keep load small or don't use hash tables (space hungry)

# Comparison

- open addressing is hard to compare to chaining
  - chaining may be better when memory req. not known in advance
  - otherwise double hashing wins (by a small margin)
- Cormen et. al. "*Algorithms*" have the best (most methods + lengthy + proofs) coverage of hash tables



# Are they right?

- Try it!
- I tried w/ short input strings
  - what's the biggest number in a 32-bit unsigned int?
  - what values does `pow(120, i)` give with a string of length 32?
  - split long strings or replace `pow()` with something else
- I hashed against: { 8, 16, 32, 64 }
- and then primes: { 7, 17, 31, 61 }

# "Rate My Hash Function"

- Ratio of space used - "**load factor**". maximum is ~90%
- Frequency of double-ups
- Spread over table - clustered (~worse) or even (~better)?
- Rate by **Average** time complexity. Is our  $O(1 + a)$  Closer to  $O(1)$  or  $O(n)$ ?
  - Function must suit actual input instances, not just on paper
- If your data size  $n$  is small, you may have fallen for a trick question.
- Programmers often refine their own, personal 'awesome simple hash function' in their personal toolkit/header.

# Hash Function Strategies

- Division (remainder)  $\text{index} = \text{key} \% n$
- Compression **sum** or **xor** of large(er) input data
- Extraction  
use only (more unique) **part** of the key as index
- Middle of square  $\text{key} = \text{key}^2$ .  
key = extract middle part of key (more unique)
- Know what key data looks like to guide you making more efficient function

# Hashing Touches other Disciplines

- Hash functions aren't just for tables
  - e.g. SHA algorithm (Secure Hash Algorithm 1)
    - output a **checksum** of particular length
    - run 'checksum myfile' on your computer - compare output
  - Cryptography
  - File integrity
    - download not corrupted
    - this is the original file, nothing injected

# Hash Function Algorithm Design

- Input data instance (our key)
  - short string, uint, address, whole file
- Output data permutation
  - table index between 0 and  $n - 1$ 
    - ideally each output index is equally likely (even distribution)
  - or e.g. 20-byte checksum (usually display as hex)
- Algorithm is  $\therefore$  arithmetic and similar to a random number generator
  - -> this is looking for a math. function with even distribution
  - transform keys into numbers first - so we can do arithmetic on them